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08-29-01

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|---|---|--|
|  0891 U.S. PAT. | UTILITY PATENT APPLICATION TRANSMITTAL | |
| | Attorney Docket No. | IN-5475 |
| | First Named Inventor | BRADFORD et al. |
| | Filing Date | Tuesday, August 28, 2001 |
| | Title | DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME |
| Express Mail Certificate | | EK157042045US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

 ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages 40]
 - (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Application
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfilm Appendix
 - Background of the invention
 - Background of the invention
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets ☐
5. ☐ Oath or Declaration [Total Sheets ☐
 a. ☐ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 17 completed)
 i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached detailing
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR§3.73(b) Statement (when there is an assignee) ☐ Power Of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/ PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
16. ☐ other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 0 filed on

Prior application information: Examiner Group/Art Unit:

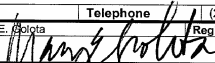
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

26922

PATENT TRADEMARK OFFICE

| | | | | | |
|-------------------|---|-----------------------------------|----------------|-----------------|----------------|
| Country | USA | Telephone | (248) 948-2020 | Fax | (248) 948-2093 |
| Name (print Type) | Mary E. Bolota | Registration No. (Attorney Agent) | 36,814 | | |
| Signature |  | | Date | August 28, 2001 | |

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997

| | |
|--------------------------|--|
| Attorney Docket No. | IN-5475 |
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| Title | DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME |
| Express Mail Certificate | EK157042045US |

TOTAL AMOUNT OF PAYMENT \$800.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number 23-3425

Deposit Account Name BASF Corporation

☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR §
Required Under 37 CFR §§ 1.18 at the Mailing of the Notice of
1.16 and 1.17 Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code Entity Fee (\$)

| | | | |
|-----|-----|------------------------|----------|
| 101 | 710 | Utility filing fee | \$710.00 |
| 106 | 330 | Design filing fee | |
| 107 | 540 | Plant filing fee | |
| 108 | 790 | Reissue filing fee | |
| 114 | 150 | Provisional filing fee | |

SUBTOTAL (2) \$710.00

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--|--------------|----------------|----------|
| Total Claims 25 - 20** = 05 x 18 = \$90.00 | | | |
| Independent Claims 01 - 3** = 0 x 80 = | | | |
| Multiple Dependent 270 = | | | |

**Or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | |
|----------------|-----------------|---|
| 103 | 18 | Claims in excess of 20 |
| 102 | 80 | Independent claims in excess of 3 |
| 104 | 270 | Multiple dependent claims, if not paid |
| 109 | 82 | **Reissue independent claims over original patent |
| 110 | 22 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$90.00

FEE CALCULATION

3. ADDITION FEES

| Large Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|---|----------|
| 105 | 130 | Surcharge -late filing fee or oath | |
| 127 | 50 | provisional ailing or cover sheet | |
| 139 | 130 | Non-English specification | |
| 147 | 2,520 | For filing request for examination | |
| 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840 | Requesting publication of SIR after Examiner action | |
| 115 | 110 | Extension for reply within first month | |
| 116 | 400 | Extension for reply within second month | |
| 117 | 890 | Extension for reply within third month | |
| 118 | 1,510 | Extension for reply within fourth month | |
| 128 | 2,060 | Extension for reply within fifth month | |
| 119 | 310 | Notice of Appeal | |
| 120 | 310 | Filing a brief in support of an appeal | |
| 121 | 270 | Request for oral hearing | |
| 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | Petition to revive-unavoidable | |
| 141 | 1,320 | Petition to revive-unintentional | |
| 142 | 1,320 | Utility issue fee (or reissue) | |
| 143 | 450 | Design issue fee | |
| 144 | 670 | Plant issue fee | |
| 122 | 130 | Petition to the Commissioner | |
| 123 | 50 | Petition related to provisional application | |
| 126 | 240 | Submission of information Disclosure Statement | |
| 581 | 40 | Recording each patent Assignment per property (time number of properties) | |
| 146 | 790 | Filing a submission after final rejection | |
| 149 | 790 | For each additional invention to be examined (37 CFR 1.129(b)) (specify) | |
| Other fee | | Reduced By Basic Fee Paid Filing | |

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

PATENT TRADEMARK OFFICE

| | | | | | |
|-------------------|---------------|-----------------------------------|-----------------|-----|----------------|
| Country | USA | Telephone | (248) 948-2020 | Fax | (248) 948-2093 |
| Name (print Type) | Mary E. Goltz | Registration No. (Attorney Agent) | 36,814 | | |
| Signature | | Date | August 28, 2001 | | |

PATENT

(Practitioner's Docket No. IN-5475)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

BRADFORD et al.

US Serial No. Herewith

Filed: August 28, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME

Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK157042045US

Date of Deposit

August 28, 2001

I hereby certify that the following attached paper or fee

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|-------------------------------------|
| <input checked="" type="checkbox"/> |
| <input checked="" type="checkbox"/> |
| <input checked="" type="checkbox"/> |

Information Disclosure Statement

From PTO-1449

Cited References

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

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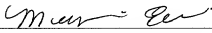
I hereby certify that the following attached paper or fee

☒ Application
Specification on 36 pages; claims on 03 pages;
the abstract on 01 page(s); drawings on 0 page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.